



15.38/5796

In re the Application of:) Group Art Unit: 1763
NAMOSE.)
Serial No. 09/805,382) Examiner: Hassanzadeh, Parviz
Filed: March 13, 2001)
For: METHOD OF PROCESSING PFC AND)
APPARATUS FOR PROCESSING PFC)

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references.
- ☒ The First Office Action from the Patent Office of the People's Republic of China, dated August 22, 2003, for Patent Application No. 01800494.6, cites US5569810, US5439568, and US5965786. These three patents were previously cited in an IDS for this case. An English translation of the First Office Action has been submitted herewith.

11/28/2003 SSESHE1 00000029 09805382

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- B. ☐ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits;
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114; or
 - 5) before the mailing date of a first Office Action after filing of a Continued Prosecution Application.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

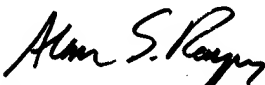
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(2).

- **Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585.** A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,


Alan S. Raynes
Reg. No. 39,809

Nov. 21, 2003
Date

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on Nov. 21, 2003 and is addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Alan S. Raynes

Nov. 21, 2003
(Date)

FORM PTO-1449
REV. 7.801U.S. Department of Commerce
PATENT AND TRADEMARK OFFICEAttorney Docket No.
15.38/5796Serial No.
09/805,382**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant:
NAMOSEFiling Date
3/13/01Group
unknown**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-Class	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	First Office Action from Patent Office of the People's Republic of China dated August 22, 2003 for Patent Application No. 01800494.6 (with English translation).
Examiner	Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.